



POLICY ON BILATERAL CONTACTS WITH SHAREHOLDERS

INTRODUCTION

Please find below the policy of Avantium N.V. (**Company**) in respect of bilateral contacts with shareholders in accordance with best practice provision 4.2.2 of the Dutch corporate governance code (**Code**), as adopted by the Management Board on 14 March 2017 and approved by the Supervisory Board on 14 March 2017.

1 CONTACTS WITH SHAREHOLDERS

- 1.1 The Company is committed to maintaining an open and constructive dialogue with its shareholders and potential shareholders. Conversations with shareholders, both in general meetings and on a bilateral basis, form an integral part of this dialogue.
- 1.2 The Company will take into consideration all requests from shareholders to enter into a conversation. The Company will agree to such requests for those situations where the Management Board and/or the Supervisory Board deem this in the Company's interest. The initiative to enter into a conversation with a shareholder can also be taken by the Company.
- 1.3 In order to assess whether a conversation with shareholders could be in the Company's interest, the Company may request shareholders to provide certain (written) information. This information can include the goal of the conversation, the matters to be discussed, the opinion of the shareholders on these matters and information in respect of the shareholder and its interest in the Company.
- 1.4 The Company adheres to all legal obligations relating to inside information and equal treatment of shareholders and only discusses publicly known information in one-on-one meetings.
- 1.5 Market soundings will be conducted in accordance with the rules laid down in the Market Abuse Regulation ((EU) No 596/2014), and the rules and regulations promulgated thereunder.
- 1.6 The Company's policy is that, where possible, at each conversation with shareholders at least two Company representatives shall be present. In most cases the CEO and/or the CFO will speak with shareholders.
- 1.7 A request by a shareholder to meet with one or more members of the Supervisory Board (whether or not in the presence of members of the Management Board), shall be assessed by the Supervisory Board in consultation with the CEO.



- 1.8 Bilateral meetings with (potential) shareholders will not be held during 'closed periods', which normally run for a period of one month (thirty (30) calendar days) immediately prior to the first publication of the annual, half year or quarterly results of the Company and for a period of 21 calendar days immediately prior to the announcement of payment of an interim or other dividend by the Company.

2 GENERAL PROVISIONS

- 2.1 The Company is dedicated to providing high quality and timely information to all shareholders. Information for shareholders will be made available on our website: www.avantium.com.
- 2.2 The Management Board is authorised to adopt and amend this policy, subject to approval of the Supervisory Board. The Management Board, with the approval of the chairperson of the Supervisory Board, and the Supervisory Board retain their right to deviate from this policy.